## Vaccine safety surveillance

### Importance of vaccine safety surveillance

Vaccines are extremely safe and effective, however, no vaccine is perfectly safe and adverse reactions may occur. Post-licensure surveillance of vaccine safety is therefore critical. Compared to clinical trials, the conditions and reasons for safety monitoring change following the licensure and introduction of a new vaccine. Vaccines are now used in the general population and recipients are no longer monitored as would be the case in clinical trials. Certain subpopulations who are commonly excluded in clinical trials, e.g. those with underlying medical conditions now get vaccinated. Large numbers of people are being vaccinated, for example, entire birth cohorts receiving infant vaccines and currently the introduction of the COVID-19 vaccine.

In addition to the vaccines themselves, the process of immunisation is a potential source of an adverse event. Therefore, other factors that can lead to AEFIs, such as incorrect administration practices, need to be monitored for safety. Uncommon and rare vaccine reactions, and reactions with delayed onset, may not be detected before vaccines are licensed. Healthcare providers should keep in mind that some commonly used vaccines have demonstrated rare and potentially serious adverse events. However, in these instances, individual and community benefits of vaccination outweigh the risks.

The main objectives of AEFI surveillance, also apply to surveillance of COVID-19 vaccines.

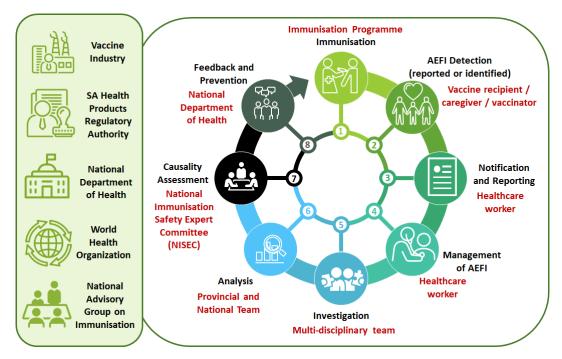
# Objectives of AEFI surveillance Estimate rates of AEFI occurrence in the local population compared with trial and international data Identify problems, if any, with vaccine lots/brands leading to vaccine quality defect-related reactions Detect, correct and prevent immunisation error-related events Reduce the incidence of anxiety-related reactions from apprehension or pain, through education and messaging Prevent false blame from the public, arising from coincidental events Timeously monitoring to prevent morbidity and mortality in recipients of

Maintain confidence by addressing concerns, and raising awareness about vaccine risks

The vaccine safety surveillance cycle, key role players and stakeholders

COVID-19 vaccines

In South Africa we already have a vaccine safety surveillance system in place within the Expanded Programme on Immunisation (EPI). The vaccine safety surveillance cycle, as shown in **Figure 1**, consists of a number of key steps to monitor the safety of vaccines starting at the point where the vaccine is administered within the immunisation programme. Thereafter, the identification or detection of an AEFI, the notification and reporting of such an event and the management thereof if necessary. This is followed by the investigation of the adverse event by a multi-disciplinary healthcare team, the analysis of the data collected, causality assessment by an expert committee and finally communication on the outcome of the causality assessment of the event, including future prevention of any vaccine safety risks. The same process will apply to COVID-19 vaccination. The same process will apply to COVID-19 vaccination.



### Figure 1: AEFI surveillance cycle, key players and stakeholders

Successful surveillance of AEFI is only possible through the collaborative efforts of various key players and stakeholders, as illustrated in **Figure 1**. Apart from the vaccinator, the vaccine recipient and other healthcare professionals, important stakeholders also include the manufacturers of vaccines, the South African Health Products Regulatory Authority (SAHPRA) responsible for the authorisation and licensure of vaccines, the National Department of Health and in particular the EPI Programme, responsible for the immunisation programme and then lastly the World Health Organisation and the National Advisory Group on Immunisation, responsible for support and guidance on immunisation in SA. Regular feedback on all AEFI is given to SAHPRA, the vaccine manufacturers, the WHO Country Office and the National Advisory Group on Immunisation.

### Passive and active vaccine safety surveillance

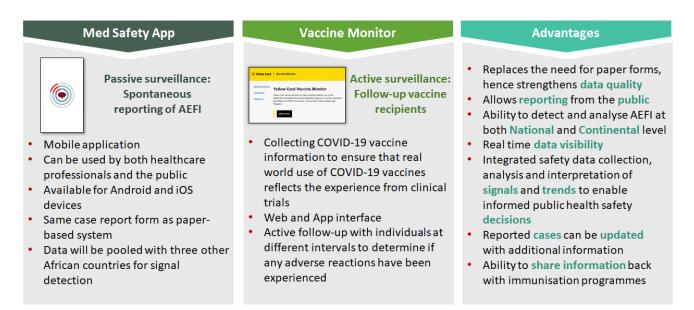
In South Africa, vaccine safety surveillance primarily takes place through **passive surveillance**, known as spontaneous reporting. This happens when vaccine recipients themselves or healthcare providers detect adverse events and notify them through the system being used for collecting information on AEFIs. This kind of passive surveillance is useful for the identification of potential safety signals for adverse events that were unknown at the time of vaccine authorisation or that are unexpected. However, passive systems are unable to differentiate between a real reaction following immunisation and any coincidental event. The disadvantage of spontaneous reporting is that it does not collect data from all vaccine recipients, which means under-reporting of AEFI.

AEFI can also be detected through **active surveillance**, via sentinel sites or through cohort event monitoring. Active vaccine safety surveillance systems aim to collect complete and accurate information about AEFI and risk factors for AEFI in a defined population. For example, to determine if there are any differences in AEFI between male and female vaccine recipients. This is done using a continuous organised process, where each vaccine recipient is actively followed-up and all relevant data are collected from all individuals within a defined population. The advantage of active surveillance is that it minimises the under-reporting of AEFI.

With the **introduction of the COVID-19 vaccine**, passive and active surveillance will be implemented for the detection of AEFI (see **Figure 2**). In addition to the electronic vaccine data system (EVDS), currently being developed for COVID-19 vaccine recipient registration and recording of vaccination (see Section **Error! Reference source not found.**), an electronic system for the reporting of AEFI will be introduced in the very near future. The current paper-based system used for **passive surveillance** of AEFI, will be phased-out with the introduction of the **Med Safety App**, a mobile application for AEFI reporting by healthcare professionals as well as the general public.

In addition, for the purpose of **active surveillance**, the **Vaccine Monitor**, which has a Web and App interface, will be used for the active follow-up of vaccine recipients at different intervals, to determine if any adverse events have been experienced. Training on the electronic safety surveillance system will be provided to all healthcare workers at the implementation stage.

Figure 2 provides an overview of the Med Safety App and the Vaccine Monitor, as well as some of the advantages of electronic reporting of AEFI.



### Figure 2: Electronic vaccine safety surveillance with the Med Safety App and the Vaccine Monitor

Adverse events following immunisation (AEFI) and adverse events of special interest (AESI) in the context of COVID-9 vaccine roll-out

Adverse events following immunisation (AEFI) defined in the context of COVID-19

An AEFI is defined as any untoward medical occurrence which

- follows immunisation;
- <u>does not necessarily have a causal relationship</u> with the usage of the vaccine;
- may be any unfavourable symptom about which a vaccine recipient complains; and
- may be an abnormal laboratory finding, sign or disease found by medical staff.

*Implications for COVID-19 vaccination:* The same definition will be used to identify, report, and investigate where appropriate, all AEFI with a COVID-19 vaccine. Current vaccine safety surveillance systems will be adapted to ensure the safety of the public is assured and to counter any real or perceived safety concerns.

Adverse events of special interest (AESI) in the context of COVID-19

In the context of COVID-19 vaccine introduction, normal vaccine safety surveillance systems will have to be adapted to ensure that post-vaccination safety information is collected and processed, that the safety of the public is not put at risk and to counter any real or perceived safety concerns. With the COVID-19 vaccine introduction, in addition to AEFI, any AESI will also be monitored.

An AESI is a pre-specified medically significant event

- that has the potential to be causally associated with a vaccine product;
- that needs to be carefully monitored; and
- confirmed by further special studies.

With active vaccine surveillance, the incidence of AESI for COVID-19 vaccinated and unvaccinated individuals can be compared, which will enable one to determine if there is a link between the AESI and the COVID-19 vaccine product, and if there is a need for further specific studies to confirm such an association. Conditions that are commonly considered as AESIs include serious events that have followed other immunisations, for example the following:

- Guillain-Barré syndrome (GBS)
- Acute disseminated encephalomyelitis (ADEM)
- Anaphylaxis
- Serious events potentially related to novel platforms and adjuvants
- Serious events related to vaccine failure/immunogenicity (vaccine-associated enhanced disease)
- Events that are potentially important for specific populations e.g. HIV

Such conditions are shortlisted as AESIs if there is a proven association with immunisation that is true for most, if not all, vaccines and if there is a proven association with a known vaccine platform or adjuvant that is being used in any COVID-19 vaccine. Furthermore, if there is a theoretical concern based

on the immunopathogenesis of COVID-19 disease, a theoretical concern related to viral replication during COVID-19 infection, or a theoretical concern because it has been demonstrated in an animal model with one or more candidate vaccine platforms (see **Figure 3**).

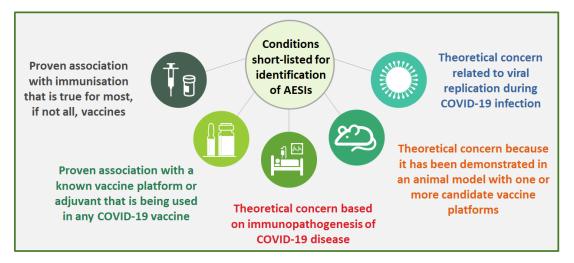


Figure 3: Conditions short-listed for active safety surveillance to identify AESIs

Differences between AEFIs and AESIs

**Table 1** provides a summary of the main differences between AEFI and AESI with practical implicationsin the context of COVID-19.

	Adverse Events Following Immunization	Adverse Events of Special Inters in the context of COVID19		
WHAT	Any untoward medical occurrence that follows immunization, and that does not necessarily have a causal relationship with the usage of the vaccine. The adverse event may be any unfavourable or unintended sign, abnormal laboratory finding, symptom or disease.	A pre-specified event that has the potential to be causally associated with a vaccine product that needs to be carefully monitored and confirmed by further special studies.		
Purpose of collecting information	To identify all events after vaccination – determine if severe/serious, investigate (severe/serious) and do causality assessment.	To identify pre-specified specific events by a set criterion and determine if the event is associated with COVID-19 vaccination.		
Identification method	Identified via spontaneous reporting by vaccine recipients or their parents, or healthcare workers or other persons who first notice the event.	Identified via an active surveillance system in sentinel sites or electronic health record by a healthcare worker or other staff in the system.		
Case definition	Important	Critical		
Type of reporting	All events that follow immunisation and are notified to the healthcare system.	All events identified through active surveillance that fit the case definition, irrespective of immunisation status.		
Training	All frontline immunisation staff in healthcare facilities (public and private); and other relevant staff for reporting, investigation, data analysis, and causality assessment	Immunisation staff and other healthcare workers in sentinel sites and predefined active surveillance systems, EPI mangers, SAHPRA, research staff, NISEC		
Users	Healthcare workers, EPI managers, SAHPRA, surveillance and information managers, epidemiologists, surveillance and information managers, vaccine safety partners including the community	Healthcare workers, EPI managers, SAHPRA, surveillance and information managers, epidemiologists, NISEC, study teams, vaccine safety partners including the community		

Table 1: Differences between AEFIs and AESIs and practical implications

4.1. Adverse events to report in the case of AEFI and AESI

Frequency and severity of AEFI

For all vaccines, AEFI are expected to occur with a certain frequency (see

**Table 2**). There is low public tolerance of vaccine adverse reactions. Vaccines are therefore only licensed when the frequency of severe reactions is very rare and when only minor, self-limiting reactions are reported. Any increase in the frequency of AEFI should alert the vaccinator to consider other causes such as the quality of the vaccine and whether there are special risks in the population.

Frequency	Occurrence amo recipients	ong vaccine	Severity of reactions			
Very common	≥1/10	<u>≥</u> 10%	Common and usually minor reactions			
Common (frequent)	≥1/100 and <1/10	≥1% and <10%	<ul> <li>Part of the immune response to vaccin</li> <li>Reactions resolve on their own</li> <li><i>Examples:</i> Fever, malaise, headache</li> </ul>			
Uncommon (infrequent)	≥1/1000 and <1/100	<u>&gt;</u> 0.1% and <1 %	<ul><li>Rare, usually more severe reactions</li><li>Usually require clinical manageme</li></ul>			
Rare	≥1/10 000 and <1/1 000	<u>&gt;</u> 0.01% and <0.1%	Examples:			
Very rare	<1/10 000	<0.01%	<ul> <li>Severe allergic reaction (e.g. anaphylaxis) including an exaggerated response of the body to the vaccine antigen or component</li> <li>Vaccine specific reactions</li> </ul>			

### Table 2: Frequency and severity of AEFI

Vaccine reactions are classified in terms of intensity into minor reactions and severe reactions, which can be either serious or non-serious. Very common and common or frequent adverse events are usually minor reactions. Uncommon, rare and very rare adverse events are usually more severe reactions.

Categories of AEFI for reporting for COVID-19 vaccines

**Error! Reference source not found.** provides a summary of the different categories of AEFI, including a brief description of each category with reporting and investigation implications within the context of COVID-19 vaccination.

It is important to note that there is a difference between the terms "serious" and "severe" adverse events or reactions. A serious adverse event or reaction is a regulatory term, which is defined by the Uppsala Monitoring Centre as any untoward medical occurrence that at any dose results in death, requires inpatient hospitalisation or prolongation of existing hospitalisation, results in persistent or significant disability or incapacity, or is life-threatening or results in a congenital anomaly.

A severe reaction is a broader term, which includes serious reactions, but also other reactions that are severe but do not necessarily lead to long term problems. They can however be disabling, but are rarely life threatening.

Minor reactions Report within 24 hours	<ul> <li>Ostally occur within a few hours of vaccination</li> <li>Resolve after short period of time reactions. Resolve after short period assure vaccine</li> </ul>			emic reactions can occur mmune response. Other mponents can trigger porting of all <b>COVID-19</b> is compulsory.	
Severe reactions Report within 24 hours Investigate within 48 hours	<ul> <li>Usually do not result in long-term problems</li> <li>Can be disabling but are rarely life threatening</li> <li>Can be upsating ensating to the first operation of the problems</li> </ul>		e frequ very ra d very	es are only licensed when uency of severe reactions rare. Information on rare y rare AEFI with <b>COVID-19</b> nes is lacking currently.	
Serious event Report within 24 hours Investigate within 48 hours	<ul> <li>Death</li> <li>Hospitalisation or prolongation of existing hospitalisation</li> <li>Persistent or significant disability or incapacity</li> <li>Comparison of the second data of t</li></ul>			Information on serious, rare and very rare adverse events following <b>COVID-19</b> vaccines is lacking.	
Cluster Report within 24 hours Investigate within 48 hours	<ul> <li>Two or more AEFI cases of the same or similar events related in:</li> <li>Time/place/ geographical setting; and/or</li> <li>Vaccine (batch/lot, manufacturer, facility)</li> <li>Clusters are anticipated when vaccines are administered on massive scale, as with COVID-19. Chances for immunisation errors, immunisation anxiety-related reactions and coincidental events are much higher than with routine immunisation.</li> </ul>				
Signal	<ul> <li>Information arising from</li> <li>One/multiple sources (including observations)</li> <li>Suggesting a new potentially causal association, or new aspect of a known association, between vaccine and event/set of related events, either adverse or beneficial</li> <li>Judged to be of sufficient likelihood to justify verification</li> </ul>		ial	Signal detection, verification & response is a key activity in COVID-19 context. Best done by pooling data.	

Figure 4: Categories of AEFI and implications for COVID-19 vaccines

*Implications for COVID-19 vaccination:* Data about rare and very rare adverse events, as well as adverse events with delayed onset, are still lacking at the time of COVID-19 vaccine registration, because no clinical trial can be powered to detect these events. Additional information will be needed for which AEFI and AESI surveillance has to be strengthened.

Vaccinators will be tasked with the responsibility of providing patient education to vaccine recipients on possible adverse events identification and immediate reporting thereof to their healthcare centre and/or healthcare providers.

COVISHIELD<sup>™</sup> clinical trial results showed that the majority of adverse reactions were mild to moderate in severity. Refer to Section **Error! Reference source not found.** for the very common and common reactions reported with the COVISHIELD<sup>™</sup> vaccine. Adverse reactions reported after the



second dose were milder and less frequent, compared to the first dose. An analgesic such as paracetamol may be used to provide symptomatic relief if necessary.

Conditions for reporting of AESI with COVID-19 vaccines

As mentioned in Section 0 active vaccine safety surveillance will be used for the purpose of monitoring COVID-19 vaccine safety. This will be facilitated by an electronic reporting system, of which the **Vaccine Monitor** will be used for active surveillance of AESI. Furthermore, if the healthcare worker identifies any AESI, the vaccine recipient must be referred for clinician consultation, and the **AESI case reporting form** must be completed (see Section 0).

Master protocols are currently being developed by the WHO to facilitate the implementation of active vaccine safety surveillance for AESIs with COVID-19 vaccines. **Table 3** shows a list of AESI indentified by the WHO for COVID-19 vaccines. More information about AESI is available in the WHO COVID-19 Vaccine Safety Manual (Chapter 6.5).

AESI identified for COVID-19 vaccine surveillance	
Vaccine-associated enhanced disease	Guillain Barré Syndrome
Multisystem inflammatory syndrome in	Acute liver injury
children	Anosmia, ageusia
<ul> <li>Acute cardiovascular injury (microangiopathy, heart failure, stress</li> </ul>	Chilblain – like lesions
cardiomyopathy, coronary artery disease	Single organ cutaneous vasculitis
arrhythmia, myocarditis)	Erythema multiforme
<ul> <li>Coagulation disorder (thromboembolism, hemorrhage)</li> </ul>	Anaphylaxis
Acute respiratory distress syndrome	Acute aseptic arthritis
Acute kidney injury	Meningoencephalitis
Generalized convulsion	Acute disseminated encephalomyelitis

### Table 3: AESI identified by the WHO for COVID-19 vaccine surveillance (May 2020)

• Thrombocytopenia

Causes of AEFI and implications for monitoring COVID-19 vaccine safety

A vaccine reaction is an individual's response to the inherent properties of the vaccine, even when the vaccine has been prepared, handled and administered correctly. It must be noted that reported adverse events can either be true adverse events – *i.e. resulting from the vaccine or the immunisation process* – or they could be coincidental events that are not due to the vaccine or immunisation process but are temporally associated with immunisation.

**Figure 5** provides a summary of the causes of AEFI, which can either be consistent or inconsistent with causal association to immunisation. Most importantly, causal association can only be determined if cases are reported and assessed.

Consistent with causal as	Inconsistent with causal association to immunisation	
Vaccine product-related reaction	Vaccine quality defect-related reaction	Coincidental event
Caused or precipitated by a vaccine due to one or more of the inherent properties of the vaccine product Implications for COVID-19 • Identification of rare and very rare adverse events is not sufficient at the time of COVID-19 vaccine licensing • More information will be needed for which AEFI surveillance has to be strengthened	<ul> <li>Caused/precipitated by a vaccine, due to one/ more quality defects of the product, including its administration device, provided by manufacturer</li> <li>Implications for COVID-19</li> <li>Knowledge of potential vaccine quality defects might not be sufficient for new vaccine platforms at time of licensing</li> <li>Rapid scaling up of vaccine production poses additional potential risks</li> <li>Identification of exact substance causing event is needed</li> </ul>	An event that happens after vaccination but is not caused by the vaccine or vaccination process Implications for COVID-19 • Coincidental events will be of utmost importance for COVID-19 vaccination and one of the reasons for active surveillance of AESI
Immunisation error-related reaction	Immunisation anxiety-related reaction	Because of potential     comorbidities in vaccine
<ul> <li>Caused by inappropriate vaccine handling, prescribing or administration</li> <li>Implications for COVID-19</li> <li>Vaccines will be administered on massive scale within short time interval; larger number of immunization error-related reactions are anticipated if preparation is insufficient</li> <li>Staff who are not familiar with immunisation might assist</li> <li>Multiple vaccines with different specifications for administration, dose and storage, may in be in use</li> </ul>	Arising from anxiety about the immunisation and fear of injection Implications for COVID-19 • Larger number of immunisation anxiety- related reactions are anticipated due to numerous factors including • older age groups • different vaccinating environments • novelty of the vaccines and their administration modalities • Example: Vasovagal syncope following vaccination	<ul> <li>recipients, it will be challenging to differentiate true coincidental events from COVID-19 vaccine product-related reactions or drug reactions or interactions</li> <li>Coincidental events can occur in healthy individuals without comorbidities</li> <li>Knowing population-based incidence (background rates) of pre-specified AESI helps to anticipate and respond to such events</li> </ul>

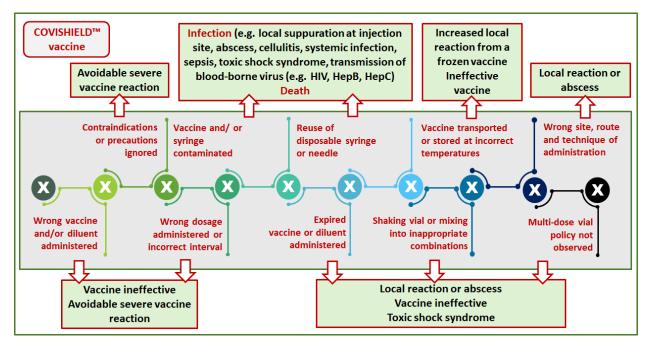
### Figure 5: Causes of AEFI and implications for COVID-19

Immunisation error-related AEFI

Immunisation error-related reactions are extremely important, as they are caused by inappropriate vaccine handling, prescribing or administration, and can be prevented.

*Implications for COVID-19 vaccination:* Vaccines will be administered on a massive scale within a short time interval, hence larger number of immunisation error-related reactions are anticipated if preparation and training is insufficient, staff who are not familiar with immunisation might assist, and multiple vaccines with different specifications for storage, dose, administration may be in use. All these factors can introduce human error. Vaccines with a 6-hour open vial policy, such as the COVISHIELD<sup>™</sup> vaccine, pose various risks to the immunisation programme, including immunisation errors. Hence, it is important that all vaccinators are trained on the correct handling procedures and administration techniques of COVID-19 vaccines.

**Error! Reference source not found.** shows various administration errors during immunisation and possible consequences thereof, especially in the case of the COVISHIELD<sup>™</sup> vaccine.



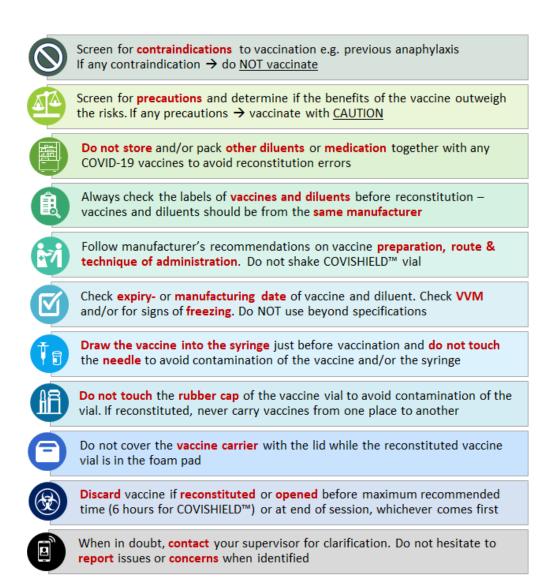
### Figure 6: Administration errors during vaccination

Prevention of immunisation error-related AEFI

One of the first steps in preventing immunisation error related AEFI, is to screen the vaccine recipient for any **contraindications and precautions** to vaccination. Contraindications are rare characteristics in vaccine recipients that increase the risk of a serious adverse reaction if the vaccine is given, for example anaphylaxis. In the case of COVISHIELD<sup>™</sup>, a contraindication would be a known hypersensitivity to the active substance or any of the excipients listed. If any contraindication is present, do NOT vaccinate. Refer to Section **Error! Reference source not found.** for more information on contraindications for COVISHIELD<sup>™</sup> vaccination.

Similarly, screen for precautions, which are events or conditions that should be considered in determining if the benefits of the vaccine outweigh the risks. If so, vaccinate with CAUTION. To mitigate risks, vaccination should take place in a controlled setting, with the vaccine recipient staying in the facility at least 15 minutes after vaccination. Refer to Section **Error! Reference source not found.** for more information on precautions for vaccination with COVISHIELD<sup>™</sup>.

**Figure 7** provides a summary of the most common principles which should be adhered to in practice, to prevent any immunisation error related AEFI.



### Figure 7: Prevention of immunisation error related AEFI

Anaphylaxis risks and mitigation

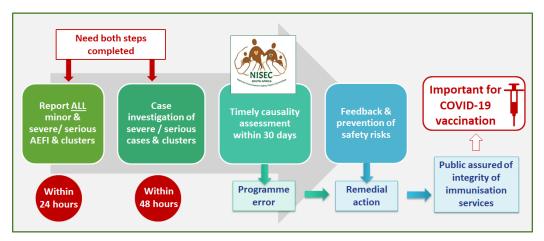
Anaphylaxis is a severe and potentially fatal reaction, however very rare (estimated as <1/1 000 000). Proper diagnosis and urgent treatment and management are therefore essential. When sudden loss of consciousness occurs 5 to 30 minutes after immunisation, consider anaphylaxis as a possible diagnosis, in addition to fainting (vasovagal syncope). The risk of anaphylaxis is determined through a series of questions posed in the written informed consent. All health facilities where vaccination is offered, must be equipped with an emergency tray, and vaccinators must be trained in the management of anaphylaxis.

A vaccination reaction may often also occur from immunisation anxiety arising from being anxious about the immunisation process or fear of injections. Vaccinators should provide adequate information to the vaccine recipient on the pros, cons and procedure for COVID-19 immunisation. Vasovagal reactions with or without hyperventilation are commonly seen after vaccination. It is therefore important for vaccinators to know the difference between an acute stress response (anxiety-related reactions) and anaphylaxis, including the management of these reactions. Anxiety-related reactions can be rather dramatic and are often mistaken for anaphylactic reactions. Correct diagnosis is also important to ensure that it possible to vaccinate those who might be at risk of serious infections, should they not be vaccinated. Refer to Section **Error! Reference source not found.** for information on the management of anaphylaxis.

Reporting and investigation process for AEFI and AESI

Goal of reporting and investigation of AEFI and AESI

The ultimate goal of timely reporting and investigation of all AEFI and AESI is illustrated in **Figure 8**. When this is done, timely **causality assessment** of reported cases can take place **within 30 days** as stipulated by SAHPRA. If any programme errors are identified, for example errors with administration, immediate remedial action can be taken. Preventing any safety risks and safety communication, will assure the public of the integrity of the immunisation services, and create confidence in vaccination, which is what we want with COVID-19 vaccination.



### Figure 8: Goal of reporting and investigation of AEFI

Responsibility to report an AEFI and an AESI

Reporting of AEFI and AESI is the responsibility of all healthcare workers providing immunisation services, health workers providing clinical treatment of AEFI and AESI in health centres, hospitals, etc., vaccine recipients and researchers conducting clinical trials or field trails. Furthermore, healthcare workers have a **professional responsibility** to report any adverse events.

For the COVISHIELD<sup>™</sup> vaccine, reports on severe or serious AEFI must reach SAHPRA within 24 hours of occurrence through an expedited process. Reports of minor AEFI must reach SAHPRA within 7 days. All case reporting forms submitted to the EPI Programme at the NDoH, will be submitted to SAHPRA by the National AEFI Coordinator, within the stipulated time. Updated reports will be submitted as cases are being investigated and causality assessment completed.

### Case investigations

All AEFI detected at any level of care should be reviewed for seriousness and severity. If the AEFI is considered to be minor and NOT severe or serious, a detailed case investigation and causality

assessment will not be required. This should be noted on the CRF (Annexure 10), however, appropriate clinical management and intervention should be provided to the vaccine recipient.

All serious and severe AEFI cases, clusters and AESI must be investigated by a multi-disciplinary team consisting of various healthcare professionals within 48 hours. Pay special attention to the following events, which must be investigated and reported accordingly:

- All deaths thought to be related to immunisation
- All cases of hospitalisations thought to be related to immunisation
- Life threatening event thought to be related to immunisation
- Significant disability thought to be related to immunisation
- Encephalopathy within 7 days of immunisation
- Collapse or shock-like state within 48 hours of immunisation
- Fever of ≥38°C within 48 hours of immunisation
- Seizure within 3 days of immunisation
- Severe local reactions following immunisation
- Injection site abscesses following immunisation
- Congenital anomaly
- Birth defect or a medically important event or reaction
- Events with an unexpected high rate or severity, or a suspected signal

The completed CRF and CIF with all clinical records will be submitted to the provincial office via the EPI/CDC District Surveillance Officer. At the provincial level a line list (Annexure 12) will be compiled, which will be submitted to the National AEFI Coordinator.

The case investigation team is a multi-disciplinary team coordinated by the District EPI or CDC Surveillance officer. They will conduct a facility visit and use available clinical records, vaccine recipient interviews, vaccinator interviews and observations to complete the case investigation form (CIF) (Annexure 11). Key people who should be part of the investigation include the following people:

- EPI co-coordinator / manager
- Vaccine logistics / cold chain co-coordinator
- District pharmacist (if co-coordinator not pharmacist)
- Referral hospital paediatrician / clinician
- Communication's officer; media and community liaison
- Community nurse

- Epidemiologist
- Clinical psychologist and district surgeon (in case of death)

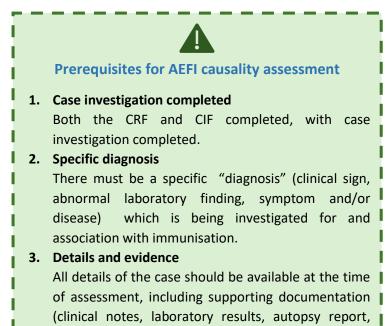
Depending on the type of case, for example in the case of a death, a psychologist and district surgeon could be included. It is important to note that the vaccinator is excluded from the case investigation team, but not excluded from investigation process.

### Causality assessment

Causality assessment for all investigated cases is done by the National Immunisation Safety Expert

Committee (NISEC). This is a nonstatutory, standing, ministerialappointed, advisory committee of independent experts. They use a structured and systematic causality assessment process to classify cases in terms of causality and determine the contribution of immunisations and the immunisation programme to such cases. They provide independent, scientific advice and recommendations to the NDoH on immunisation safety and AEFI. The worksheet used by NISEC for causality assessment and classification of cases is available in Annexure 9.

Feedback on the outcome of causality assessment will be provided to the healthcare facilities who submitted a



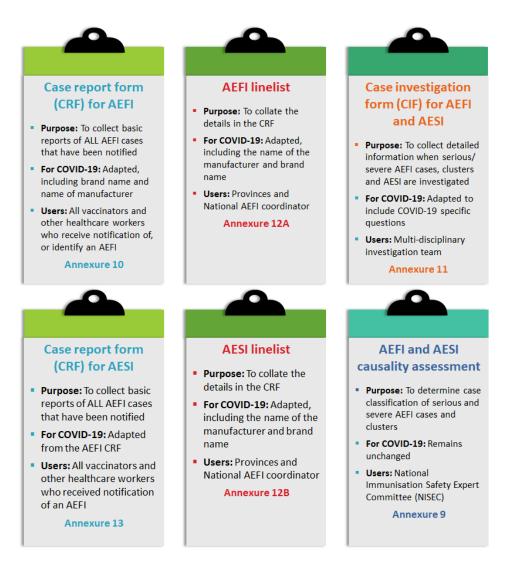
particular report/s. Complete information is absolutely essential to enable causality assessment, and even more so for all COVID-19 vaccine related AEFI and AESI.

Data collection tools for AEFI and AESI surveillance

The existing tools, used for the reporting, investigation, management and processing of AEFI data, have been adapted for COVID-19 vaccination, as recommended by the WHO. These tools are available in Annexures 10 to 13 and can be downloaded in electronic format from the Knowledge Hub, from the NICD website and from the SAHPRA website. The case reporting form for AEFI will soon be available on the Med Safety App.



Figure 9 provides and overview of the different tools used for data collection on all AEFI and AESI.



# Figure 9: Tools for COVID-19 vaccine-related AEFI and AESI reporting, investigation and causality assessment

Summary process for AEFI and AESI reporting and case investigation

Healthcare workers should follow the process illustrated in **Error! Reference source not found.** for the detection, reporting, investigation and feedback on all AEFI and AESI, including the timeline requirements and documentation to complete.

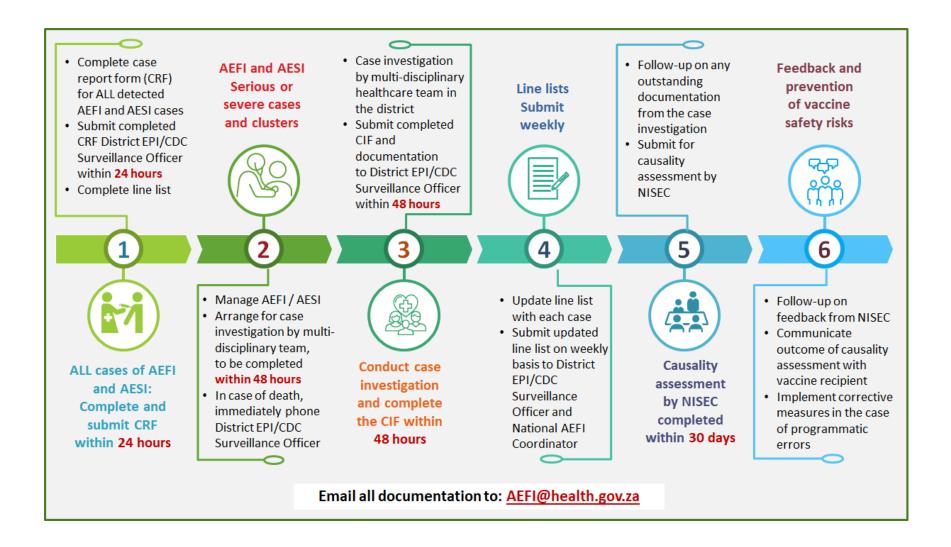


Figure 10: Key steps in the reporting process for all AEFI and AESI

